

TECHNICAL REPORT



Optical amplifiers – Part 9: Semiconductor optical amplifiers (SOAs)

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

ICS 33.160.10; 33.180.30

ISBN 978-2-8322-6344-0

Warning! Make sure that you obtained this publication from an authorized distributor.

CONTENTS

FOREWORD.....	4
INTRODUCTION.....	6
1 Scope.....	7
2 Normative references	7
3 Terms, definitions, abbreviated terms and symbols.....	7
3.1 Terms and definitions.....	7
3.2 Abbreviated terms.....	8
3.3 Symbols.....	9
4 Specific features of SOAs.....	9
4.1 SOA chips.....	9
4.2 Gain ripple	12
4.2.1 General	12
4.2.2 Theoretical calculation of gain ripple.....	14
4.3 Polarization dependent gain (PDG).....	17
4.3.1 General	17
4.3.2 Polarization insensitive SOAs	17
4.4 Noise figure (NF)	18
4.5 Lifetime of carriers	18
4.6 Nonlinear effects.....	18
5 Measurement of SOA output power and PDG	18
5.1 Narrow-band versus broadband light source	18
5.2 Examples of measurement results	19
Annex A (informative) Applications of SOAs.....	23
A.1 General.....	23
A.2 Polarization mode of SOAs	23
A.3 Reach extender for GPON	23
A.4 Pre-amplifier in transceivers for 100 Gbit Ethernet.....	23
A.5 Monolithic integration of SOAs.....	24
A.6 Reflective SOAs (RSOAs).....	25
Bibliography.....	26
Figure 1 – Schematic diagram of the typical SOA chip	10
Figure 2 – Example of gain dependency of an SOA chip on forward current.....	10
Figure 3 – Schematic top view of a typical SOA chip with and without an angled waveguide structure.....	11
Figure 4 – Schematic top view of a typical SOA module.....	12
Figure 5 – Schematic diagram of the optical feedback inside the SOA chip.....	13
Figure 6 – Schematic diagram of gain ripple	13
Figure 7 – Illustrated model of a Fabry-Perot type SOA	14
Figure 8 – Illustrated model of ASE output from an SOA.....	15
Figure 9 – SOA output power and PDG dependence on wavelength	19
Figure 10 – Optical power spectra of three different SOA chips.....	20
Figure 11 – Output power and PDG of SOA chip sample 1 as a function of I_F	21
Figure 12 – Output power and PDG of SOA chip sample 2 as a function of I_F	21

Figure 13 – Output power and PDG of SOA chip sample 3 as a function of I_F 22

Figure A.1 – Schematic diagram of the receiver section of SOA-incorporated CFP transceivers 24

Figure A.2 – Schematic diagram of the DFB-LDs-array type wavelength tuneable LD 25

Figure A.3 – Schematic diagram of a seeded WDM-PON system 25

INTERNATIONAL ELECTROTECHNICAL COMMISSION

OPTICAL AMPLIFIERS –

Part 9: Semiconductor optical amplifiers (SOAs)

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as “IEC Publication(s)”). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

IEC TR 61292-9 has been prepared by subcommittee 86C: Fibre optic systems and active devices, of IEC technical committee 86: Fibre optics. It is a Technical Report.

This third edition cancels and replaces the second edition published in 2017. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) revised definitions for SOAs in 3.1;
- b) added more theoretical background on gain ripple measurements using amplified spontaneous emission (ASE) spectrum in 4.3;
- c) removed the formerly preferred set-up for output power and PDG measurements in Clause 5.

The text of this Technical Report is based on the following documents:

Draft	Report on voting
86C/1820/DTR	86C/1830/RVDTR

Full information on the voting for its approval can be found in the report on voting indicated in the above table.

The language used for the development of this Technical Report is English.

This document was drafted in accordance with ISO/IEC Directives, Part 2, and developed in accordance with ISO/IEC Directives, Part 1 and ISO/IEC Directives, IEC Supplement, available at www.iec.ch/members_experts/refdocs. The main document types developed by IEC are described in greater detail at www.iec.ch/publications.

A list of all parts in the IEC 61292 series, published under the general title *Optical amplifiers*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under webstore.iec.ch in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

IMPORTANT – The "colour inside" logo on the cover page of this document indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

Optical amplifiers (OAs) are essential components for fibre optic communication systems, where they serve as booster amplifiers, in-line amplifiers, and pre-amplifiers. Numerous standards have been published for OAs (e.g., the IEC 61290 series and IEC 61291 series). However, most of these standards focus on optical fibre amplifiers (OFAs) because these are commonly deployed in commercial fibre optic networks. Recently, semiconductor optical amplifiers (SOAs) have attracted attention for applications in Gbit passive optical networks (GPONs) and Gbit Ethernet (GbE) systems, which operate at line rates of 100 Gbit/s and beyond. SOA chips are as small as laser diodes (LDs) and are directly driven by an electrical current.

Although SOAs operating in the 1 310 nm or 1 550 nm wavelength bands have been extensively studied since the 1980s, SOAs have mostly been used in laboratories or in field trials. This is due to certain performance limitations of SOAs, such as gain ripple and polarization dependent gain (PDG). As a result, there are few IEC documents addressing SOAs. One exception is IEC TR 61292-3, which is a Technical Report on classification, characteristics, and applications of OAs including SOAs. However, IEC TR 61292-3 presents only general information on SOAs and does not contain detailed information on test methods for measuring the particular performance parameters of SOAs.

IEC 61290-1-1:2020 describes test methods for power and gain parameters of OAs, which includes a method for gain ripple measurements on SOAs. This document has been revised to harmonize its content with IEC 61290-1-1 and with IEC 61291-2.

This document provides more detailed descriptions of the specific features of SOAs, including information on gain ripple and PDG.

OPTICAL AMPLIFIERS –

Part 9: Semiconductor optical amplifiers (SOAs)

1 Scope

This part of IEC 61292, which is a Technical Report, describes the characteristic features of semiconductor optical amplifiers (SOAs), including the specific features of gain ripple and polarization dependent gain (PDG).

This document focuses on amplifying applications of SOAs. Other applications, such as modulation, switching and non-linear functions, are not covered.

Potential applications of SOAs, such as reflective SOAs (RSOAs) for the seeded wavelength division multiplexing passive optical network (WDM-PON), are reviewed in Annex A.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 61291-1:2018, *Optical amplifiers – Part 1: Generic specification*

IEC 61291-2:2016, *Optical amplifiers – Part 2: Single channel applications – Performance specification template*